PCT

9218260.9

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:			(1	1) International Publication Number:	WO 94/06000	
G01N 25/48		A1	(4:	3) International Publication Date:	17 March 1994	(17.03.94)
(21) International Application Number:	PCT/GB	193/01	324	(81) Designated States: AT, AU, B		
(22) International Filing Date: 2	6 August 1993	(26.08.	93)		VO, NZ, PL, PT, European patent	RO, RU, (AT, BE,
(30) Priority data:				PT, SE), OAPI patent (BF,		

GB

(71)(72) Applicants and Inventors: NICHOLAS, Paul [GB/GB]; 128 Nether Street, London N3 1NS (GB). NYE, Mi-chael, John [GB/GB]; 1 Willow Close, Colnbrook, Berkshire SL3 OLF (GB).

27 August 1992 (27.08.92)

(74) Agents: REES, David, Christopher et al.; Kilburn & Strode, 30 John Street, London WC1N 2DD (GB).

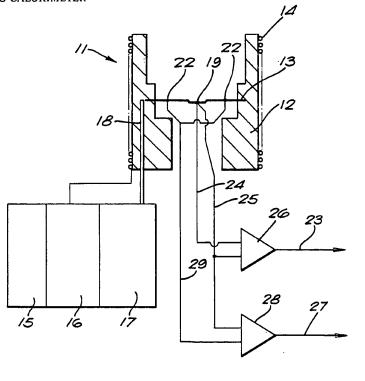
PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published With international search report.

(54) Title: DIFFERENTIAL SCANNING CALORIMETER

(57) Abstract

A differential scanning calorimeter in the form of a furnace (11) including a sensor plate (13) within a furnace wall (12). The sensor plate (13) includes a single central sample location (19). There is a temperature sensor (21) beneath the sample location and secondary temperature sensors (22) radially located between the sample location (19) and the furnace wall (12). In use, a sample on the sample location (19) is submitted to a temperature regime; its temperature is monitored and compared with the temperature determined by the secondary temperature sensors (22).



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
		GA	Gabon	MW	Malawi
ΑU	Australia				
8B	Barbados	GB	United Kingdom	NE	Niger
BE	Belgium	GN	Guinea	NL	Netherlands
BF	Burkina Faso	GR	Greece	NO	Norway
BG	Bulgaria	AU	Hungary	NZ	New Zealand
R.J	Benin	ΙE	Ireland	PL	Poland
BR	Brazil	IT	Italy	PT	Portugal
BY	Belarus	JP	Japan	RO	Romania
CA	Canada	KP	Democratic People's Republic	RU	Russian Federation
CF	Central African Republic		of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SB	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	u	Liechtenstein	SK	Slovak Republic
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TC	Togo
CZ	Czech Republic	MC	Monaco	UA	Ukraine
DE	Germany	MG	Madagascar	us	United States of America
DK	Denmark	ML	Mali	UZ	Uzbekistan
ES	Spain	MN	Mongolia	٧N	Vict Nam
FI	Finland		•		

06/05/2004, EAST Version: 1.4.1

5

10

15

20

25

30

1

DIFFERENTIAL SCANNING CALORIMETER

The present invention relates to differential scanning calorimeters.

A differential scanning calorimeter (DSC) is an instrument used in the thermal analysis of samples. The essence of the technique employed is to subject the sample to a temperature regime and to measure the temperature of the sample in response to the temperature regime. The regime may include lowering the temperature of the sample, raising the temperature of the sample or keeping the temperature steady (or a combination of these), all over a period of time.

The technique is carried out using a small furnace whose temperature can be precisely controlled. practice, in existing systems, a reference material is used at the same time as the sample and temperature both materials using taken of are measurements thermocouples. The reference material is a stable inert material which has a flat response over the relevant The derived energy signal that temperature range. results in the case of the sample is caused by the energy required for the sample to undergo physical changes (transitions) e.g. solid - liquid - gas phase changes. The reference material, being inert, will not undergo any transitions and will therefore give a reasonably true representation of the thermal profile of the environment. The sample, however, will have absorbed or released energy when undergoing a phase change and this will be manifested as a minute increase or decrease in the sample As the reference has only absorbed the temperature. temperature profile of the environment, no temperature changes, apart from the imposed environment profile, will

5

10

15

20

25

30

2

be registered and so a differential signal will be generated between the sample thermocouple and the reference thermocouple. This signal can provide sample heat capacity data and when a transition occurs the level of heat capacity will change. In the case of a melt, a peak will be observed on the graph output trace and the area beneath the peak is proportional to the latent heat of fusion for that material. Other transitions occur which cause a shift in heat capacity, e.g. a Glass Transition in a polymer or rubber.

Unfortunately, monitoring the reference temperature via a reference material causes degradation of the signal and ultimately reduced resolution due to thermal noise The noise is generated being imposed on the signal. through a high thermal resistance being present between the material and the thermocouple junction, in the form of the sample/crucible, crucible/sensor plate, sensor plate/thermocouple interfaces which represent a complex 3 layer interface of differing materials that are subject In conventional to physical movements during heating. systems, this arrangement occurs twice, once for the sample and once for the reference material. This signal quality is again compromised due to the fact that the conventional twin crucible cell unit is totally thermally One side of each crucible, and thus the sample, is closer to the heat source than the other. This causes signal degradation due to sample/reference "cross-talk".

It is also known to incorporate some weight measuring device to measure the weight of the sample. By combining this information with the temperature data, it is possible to perform a thermogravimetric analysis (TGA) to determine various thermal properties associated with the sample.

5

10

15

20

25

30

3

According to the invention, there is provided a differential scanning calorimeter in the form of a furnace including a sensor plate and a furnace wall, the sensor plate including a single generally centrally placed sample location, the plate including a temperature sensor at the sample location and secondary radially displaced temperature sensors generally located between the furnace wall and the sample location; the calorimeter including means for accurately maintaining and varying the temperature of the furnace and means for comparing the temperature sensed by the sensor at the sample with the temperature determined by the secondary temperature sensors.

Thus, in the present invention, a single central location for the sample is employed. No reference material is used at all. In a preferred embodiment, a thermocouple is located immediately beneath the sample and four (or more) secondary thermocouples are radially distributed around the sample location. In this system, temperature measurements are taken by the thermocouple immediately beneath the sample and also by the secondary thermocouples. The sample temperatures are compared with those of the secondary thermocouples. The difference will be made up of any temperature gradient between the sample and secondary thermocouples and also any anomalies caused by inherent properties of the sample material at the particular temperature in question. Such anomalies would be caused, for example, by a phase change or a chemical reaction.

The absence of a reference material effectively makes the device self-referencing. It has the basic advantage that an entirely symmetric heat distribution throughout the furnace and plate is in fact not as critical as in known systems since the temperature of the

5

10

15

20

25

30

4

sample is compared with what is effectively an average radial plate temperature. This temperature difference is a measure of the total heat flow into or out of the sample thermocouple.

Thus, the system of the present invention addresses the problems of the prior art by dispensing with a reference material and its crucible, by locating the sample and its crucible in the centre of the sensor plate which is the equilibrium point of heat flow. addition, the reference/sample thermocouple configuration gives a measure of total system heat flow into or out of the sensor plate. By dispensing with the need for a reference crucible and reference material, the signal to noise ratio is improved since interfacial thermal noise is now only generated at the sample location. A further improvement in signal quality is realised by the inherent Heat transfer between the sample thermal symmetry. crucible and the plate is radially symmetrical since all points on the crucible periphery are the same distance from the furnace wall.

Unlike a conventional DSC which measures a differential heat flow between the sample and a reference and is, therefore, highly susceptible to furnace/plate thermal symmetries, the system according to the invention measures total heat flow and is thus capable of considerably better baseline reproducibility.

In one form of the invention, the furnace wall may be of pure (99.99%) silver. This provides an efficient thermal path to the sensor plate. The sensor plate may be of a nimonic alloy, such as Chromel (T.M).

In a preferred embodiment, the sensor plate is a silicon wafer. Silicon is a very stable material and exhibits no thermal transitions between -170 and 1000°C It has a similar tensile strength to steel and is

5

10

15

20

25

30

5

chemically inert. A silicon base may therefore provide a much more stable instrument response. Enhanced chemical resistance can readily be imparted to the wafer by surface nitridation or some similar surface treatment. Thermocouple junctions can be formed on the wafer surface by vapour deposition, or similar treatment of the appropriate alloys as tracks. Track overlays can then produce the thermocouple junctions. These can also be protected by a special chemically resistant layer. Since the sample junction can be on the sample side of the wafer, improved sensitivity can be realised.

Preferably, the entire furnace is composed of silicon. Silicon is less costly than silver and the fact that the plate and wall are made of the same material avoids any difficulties with differential expansions with temperature. The use of silicon in this way may also improve data quality by removing noise generated by differential plate/furnace expansion. The furnace could then also be chemically hardened for extended usage. Furthermore, the temperature range of the instrument would be extended to 1000°C. Conventional materials for a "low temperature" DSC permit operation to a maximum of 700°C.

A silicon wafer base also lends itself to the incorporation of a weighing device. One way in which this can be achieved is to cut a "platform" from the silicon wafer at the sample location. The platform is resiliently attached to the surrounding silicon wafer with a result that any sample placed on the platform will cause it to be displaced. The displacement will be proportional to the weight and is reproducible with temperature. Minute variations caused by sample weight changes can be detected using laser interferometry or laser beam deflection. A low-cost medium resolution

5

10

15

20

25

30

6

balance may be provided by employing the Whetstone-bridge technology that is currently used in sophisticated The silicon wafer can be ion pressure transducers. construct similarly processed to implanted or thermocouples directly onto the surface in the same manner that the Bridge circuitry is constructed for By forming the weight sensor as pressure transducers. simultaneous weight part of the DSC itself, calorimetric measurements will be possible.

To obtain DSC measurements at temperatures beyond 1000°C, the plate and furnace wall may be constructed of high performance ceramics and/or metals or alloys. Higher temperature thermocouples may be deposited, as described for silicon, or bonded directly to the plate.

For higher temperature TGA measurements, the silicon weight sensor or wafer, may be remotely positioned from the hot zone of the furnace. The sample holder may be attached to the wafer sensor by rigid "stalk". thermocouple situated beneath the sample may be connected to tracks deposited on the wafer and from there the signal may be connected to suitable electronics via lead Simultaneous DSC-TGA could employ a similar out wires. system to that described for TGA above. The sample support may be a sensor plate situated in the furnace hot zone but not touching the furnace. Thermocouples may be connected as described for TGA. In such higher the furnace/plate temperature systems, furnace/plate/wafer sensor assembly can be hermetically sealed to ensure good atmosphere control.

To obtain DSC measurements at temperatures beyond 1000°C, the plate and furnace wall may be constructed of high performance ceramics and/or metals or alloys. Higher temperature thermocouples may be deposited, as described for silicon, or bonded directly to the plate.

7

These materials may also, of course be used for low temperature applications. Furthermore, these materials may be used in the same way as silicon for measuring sample weight changes for TGA and simultaneous DSC-TGA.

Modulation of the heat reaching the sample permit the measurement of properties such as thermal conductivity and the reversibility and kinetics of transitions, by monitoring the amplitude and distortion of the original modulation waveform measured by the sample thermocouple, for such an operation it is desirable to "frequency-map" the transitions detected, that is to say, for taking measurements at a number of frequencies to derive the kinetic data.

10

15

20

25

30

One technique for modulating the heat reaching the sample is to impose a waveform on the current conducted However, this applied by the furnace windings. modulation frequency is limited by the thermal inertia of the furnace/sensor plate assembly, but still permits some frequency mapping. Alternatively, a pulse or pulses of heat can be generated at the sample thermocouple by passing a current through it. In this configuration the thermocouple is called a Peltier junction and can be switched rapidly from heat source to heat sensor. More flexibility is offered by using a pulsed laser to administer heat pulses directly or indirectly to the Since silicon is transparent to infra red sample. radiation, it is envisaged that an infra red laser could access the sample through the furnace or lid.

Pulsed or modulated heating can also be employed by placing a thermocouple in contact with the sample top surface. Thermal gradients, and therefore thermal conductivity between this and the plate, can then be measured. Heat can be applied as a single pulse or pulse train upwards or downwards through the sample. One

5

10

15

20

25

30

8

thermocouple/Peltier junction may be used as a source of heat and the other to measure temperature. These can be alternated if desired. A laser can also be used to apply controlled pulses to the sample top surface.

The differential scanning calorimeter of the invention therefore enables more sensitive and accurate measurements of a material's thermal properties to be taken, and with greater ease. The parameters measured are phase change and chemical reaction enthalpies and heat capacity, as in conventional DSC. In addition to improving basic data quality, additional measurements such as thermal conductivity and transition reversibility are facilitated.

The preferred materials used for the construction of the device will permit the integration of a weight sensing element enabling simultaneous calorimetry and TGA in a very compact unit.

Figure 1 is a simplified part-cutaway perspective view of a furnace forming part of a calorimeter in accordance with the invention;

Figure 2 is a schematic vertical section through a calorimeter in accordance with the invention; and

Figure 3 is a schematic vertical section through a calorimeter additionally adapted for high temperature thermogravimetric analysis.

Figures 1 and 2 shows a furnace 11 for a self-referencing differential calorimeter. The furnace 11 comprises a wall 12 of silver and a base or heat sensor disc 13 of Chromel (T.M.). The wall 12 is heated by means of an external heater coil 14. The heating cycle is achieved by means of a power supply 15, a furnace programmer 16 a furnace controller 17 and a control thermocouple 18 embedded in the wall 12.

The disc 13 has at its centre crucible location

5

10

15

20

25

30

9

point 19 for the sample. Beneath the sample point 19 there is a sample thermocouple 21, and at four symmetrical radially spaced locations in the disc 13 there are respective reference thermocouples 22 (though, there could of course be more, or even as few as three or two). A sample temperature signal 23 is generated by the sample thermocouple 21 via leads 24 and 25 and via an amplifier 26. A differential temperature signal 27 is computed in an amplifier 28 from signals from the sample thermocouple 21 and the reference thermocouples 22 via leads 29 and 25.

In use, the operational temperature range would be typically -170°C to 700°C though this could be extended up to 1000°C by the use of silicon for the wall 12 and plate 13. Lower temperatures could be attained by using liquid nitrogen or helium in a cooling jacket (not shown). Also, water or compressed air could be used in conjunction with the cooling jacket to cool the furnace rapidly.

Typical heating rates might be 0.1 to 100k/min. Cooling rates could be much more rapid if quenching were employed e.g. 1000k/min. A pressure range of about $1000kg/mm^2$ down to below ambient is envisaged.

alternative embodiment 3 an Figure additionally for high temperature TGA. The calorimeter 31 includes a zirconia wall 32 which acts as a heat sink, and a silicon wafer or ceramic base 33. Again, there is a central sample location 35. The calorimeter 11 is located within a housing comprising three ceramic walls. A carbon heater element 34 is located on the outer surface of the inner wall 36, and a vacuum chamber 37 is formed between the inner and intermediate walls 36,38. The outer wall 39 is spaced from the intermediate wall The top and bottom are closed off by a lid 41 and

10

floor 42, respectively.

The calorimeter 31 is supported by a rod 43 which passes through the floor 42 via a frictionless support system such as air bearings. The rod 42 is itself supported from a silicon wafer 44 which is spaced from the floor 42, the intervening space optionally being filled with a thermal insulator (not shown).

In use, the displacement of the silicon wafer 44 is proportional to the weight of the sample and minute changes in displacement would represent changes in sample weight. The displacement is measured by means of a laser interferometer or by similar technology (not shown). By using a silicon wafer sensor "pad" in this way, sample weight can be measured with a maximum estimated limit of 1.0 gramme. Resolution of weight measurement would be down to 0.1 microgramme.

20

15

5

10

25

30

5

10

15

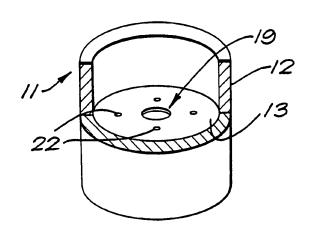
CLAIMS

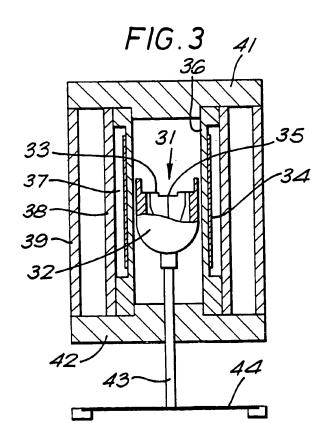
- 1. A differential scanning calorimeter in the form of a furnace (11) including a sensor plate (13) and a furnace wall(12), the sensor plate (13) including a single generally centrally placed sample location (19), the plate (13) including a temperature sensor (21) at the sample location (19) and secondary temperature sensors (22) radially located between the sample location (19) and the furnace wall (12); the calorimeter (11) including means (14-18) for accurately maintaining and varying the temperature of the furnace (11) and means for comparing the temperature sensed by the sensor (21) at the sample with the temperature determined by the secondary temperature sensors (22).
 - 2. A calorimeter as claimed in Claim 1, characterised in that the sensor plate (13) is a silicon wafer.
- 3. A calorimeter as claimed in Claim 1 or Claim 2, characterised in that the furnace wall (12) is of silicon.
- 4. A calorimeter as claimed in Claim 1, characterised in that the furnace wall (12) is of silver and/or the sensor plate (13) is of a nimonic alloy.
- A calorimeter as claimed in Claim 1, characterised in that the furnace wall (12) and/or the sensor plate
 (13) are of a ceramic material.
 - 6. A calorimeter as claimed in any preceding Claim, characterised in that a weighing device, the weighing device comprising a member (44) resiliently supporting

the sensor plate (33) and means for determining the displacement of the member (44) in response to the weight a sample located on the sensor plate (33).

- 7. A calorimeter as claimed in Claim 6, characterised in that the sensor plate (33) is located within a heat sink (32) which is supported by the member (44) via an elongate rod (43).
- 8. A calorimeter as claimed in Claim 7, characterised in that the heat sink (32) is of zirconia.
- A method of performing a thermal analysis of a locating a sample of the material which comprises: material within a calorimeter; subjecting the sample to 15 measuring the temperature of the a temperature regime; temperature the response to in characterised by simultaneously measuring the temperature at other locations within the calorimeter to determine a calorimeter temperature, comparing this with the sample 20 temperature and analysing the difference between the calorimeter temperature and the sample temperature during the temperature regime.
- 25 10. A method as claimed in Claim 9, characterised by additionally determining changes in the weight of the sample.
- 11. A method as claimed in Claim 10, characterised in that the changes in the weight of the sample are detected by supporting the sample from a resilient member and monitoring changes in the position of the member during the temperature regime.

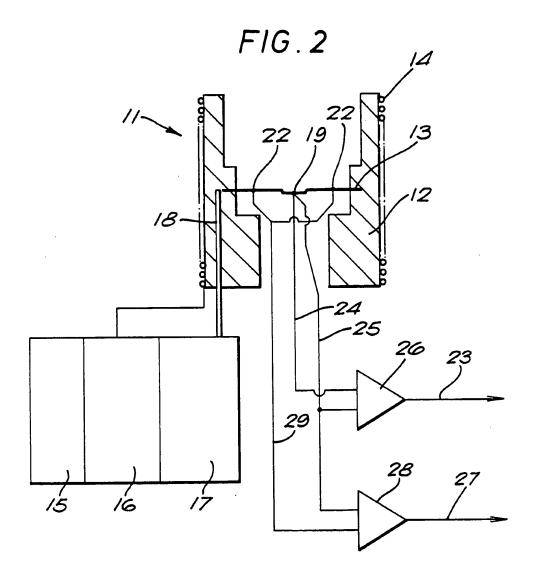
FIG.1





SUBSTITUTE SHEET

06/05/2004, EAST Version: 1.4.1



SUBSTITUTE SHEET

06/05/2004, EAST Version: 1.4.1

INTERNATIONAL SEARCH REPORT

Intern tal Application No
PCT/GB 93/01824

			101,00 00,000
A. CLASSI IPC 5	FICATION OF SUBJECT MATTER G01N25/48		
According to	o International Patent Classification (IPC) or to both national classific	ation and IPC	
	SEARCHED		
Minimum de IPC 5	ocumentation scarched (classification system followed by classificatio G01N	n symbols)	
Documentat	ion searched other than minimum documentation to the extent that su	ch documents are in	cluded in the fields searched
Electronic d	lata base consulted during the international search (name of data base	and, where practical	, search terms used)
C. DOCUM	MENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the rel	evant passages	Relevant to claim No.
Y	LU,A,46 246 (CEA) 4 June 1964 see page 3, line 24 - page 4, lin figures 1-3	e 25;	1
Y	US,A,4 126 032 (M. IKEDA) 21 Nove see abstract; figure 1	mber 1977	1
A	US,A,4 606 649 (S. A. MIKHAIL) 19 1986 see abstract; figure 1	August	1
A	PATENT ABSTRACTS OF JAPAN vol. 7, no. 32 (P-174)(1177) 8 Fe 1983 & JP,A,57 186 147 (K. GIJUTSUIN) November 1982 see abstract		1
		,	ĺ
	-	·/	
X Fu	rther documents are listed in the continuation of box C.	X Patent fami	ly members are listed in annex.
'A' documents consisted filing 'L' documents which citation other than the consistency of the consistency consiste	ment defining the general state of the art which is not idered to be of particular relevance repositions that published on or after the international g date ment which may throw doubts on priority claim(s) or this cited to establish the publication date of another ion or other special reason (as specified) ment referring to an oral disclosure, use, exhibition or r means ment published prior to the international filing date but r than the priority date claimed	or priority date cited to underst invention 'X' document of pa cannot be cons involve an inve 'Y' document of pa cannot be cons document is coments, such co in the art. '&' document mer	published after the international filing date and not in conflict with the application but land the principle or theory underlying the articular relevance; the claimed invention idered novel or cannot be considered to entire step when the document is taken alone articular relevance; the claimed invention idered to involve an inventive step when the ambined with one or more other such documbination being obvious to a person skilled other of the same patent family
Date of the	ne actual completion of the international search 19 November 1993	Date of mailing	g of the international search report 1 7, 12, 93
Name an	d mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized offi	icer
	NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+ 31-70) 340-3016	DUCHA	ATELLIER, M

Form PCT/ISA/210 (second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

Intern al Application No
PCT/GB 93/01824

	TO BE BUILDING CONCERN TO BE BUILDING.	PC1/GB 93/01624
C.(Continua Category	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category	Clearni of accounting with indicators, where appropriately a second control of the control of th	
A	FR,A,2 603 987 (CEA) 18 March 1988 see abstract; figures 1,2	1
A	US,A,4 095 453 (L. WOO) 20 June 1978 see abstract; figure 3	1
		,

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

1

INTERNATIONAL SEARCH REPORT

information on patent family members

Intern val Application No PCT/GB 93/01824

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
LU-A-46246	03-12-64	BE-A- DE-B- FR-A- GB-A- NL-A-	649114 1241149 1368188 1059297 6406490	01-10-64
US-A-4126032	21-11-78	JP-C- JP-A- JP-B- JP-C- JP-A- JP-B-	1285597 52114388 60004420 1135248 52114389 57025068	09-10-85 26-09-77 04-02-85 14-02-83 26-09-77 27-05-82
US-A-4606649	19-08-86	NONE		
FR-A-2603987	18-03-88	NONE		
US-A-4095453	20-06-78	NONE		

Form PCT/ISA/210 (patent family annex) (July 1992)